



Substitute Form PTO-1009 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 11872-030001	Application No. 09/841,999
		Applicant Dung A. Ngo et al.	
		Filing Date April 25, 2001	Group Art Unit 3722 2832

Information Disclosure Statement  
by Applicant  
(Use several sheets if necessary)

(37 CFR §1.98(b))

## U.S. Patent Documents

Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
Am	AA	3,153,216	10/13/1964	Klitten			
	AB	3,212,172	10/19/1965	Davis			
	AC	3,233,311	02/08/1966	Giegerich et al.			
	AD	3,434,087	03/18/1969	Hofmann			
	AE	3,548,355	12/15/1970	Martincic et al.			
	AF	3,611,226	10/05/1971	Cotton et al.			
	AG	3,626,587	12/14/1971	Zickar et al.			
	AH	3,708,897	01/09/1973	Martincic et al.			
	AI	3,750,071	07/31/1973	Eley			
	AJ	3,774,298	11/27/1973	Eley			
	AK	4,504,813	03/12/1985	Strang			
	AL	4,599,594	07/08/1986	Siman			
	AM	4,751,488	06/14/1988	Lanoue et al.			
	AN	5,242,760	09/07/1993	Matsuoka et al.			
	AO	5,455,392	10/03/1995	Preu et al.			
Am	AP	5,470,646	11/28/1995	Okamura et al.			

## Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
Am	AQ	0 082 954 A1	07/06/1983	EP				
Am	AR	2 289 039	05/21/1976	France				
Am	AS	1,087,594	10/18/1967	Great Britain				
Am	AT	1,156,369	06/25/1969	Great Britain				

## Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
Am	AU	Copy of PCT Search Report for PCT/US99/06476 dated 07/09/1999
Am	AV	Copy of PTO Form 892 from U.S. Serial No. 09/276,164

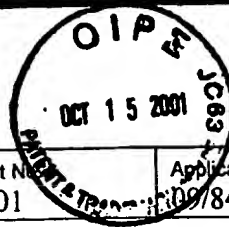
Examiner Signature

Date Considered

3/1/03

3/31/04

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<b>Substitute Form PTO-1449</b> (Modified)  <b>Information Disclosure Statement</b> <b>by Applicant</b> (Use several sheets if necessary)  (37 CFR §1.98(b))	<b>U.S. Department of Commerce</b> <b>Patent and Trademark Office</b>		<b>Attorney's Docket No.</b> 11872-030001	<b>Application No.</b> 09/841,945
	<b>Applicant</b> Dung A. Ngo et al.			
	<b>Filing Date</b> April 25, 2001		<b>Group Art Unit</b> 3722	

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Other Documents (Include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
Am	AW	Patent Abstract of Japan Publication No. JP 58-141515 A
Am	AX	Patent Abstract of Japan Publication No. 59-121810 A2
Am	AY	Report titled: "National Appliance and Equipment Energy Efficiency Program, Analysis of Potential for Minimum Energy Performance Standards for Distribution transformers", Draft Final Report dated 03 May 2000 by ark Ellis & Associates, 44, Albert Street, Wagstaffe, NSW 2257, Australia

<b>Examiner Signature</b>	<b>Date Considered</b>
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